

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

13842

In Re Application: KENNETH R. LEVEY ET AL.

OCT 18 2004

| Application No. | Filing Date | Examiner              | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|-----------------------|--------------|----------------|------------------|
| 10/600,195      | 06/20/2003  | Mitchell, Katherine W |              | 3677           | 7477             |

Title: **PRESSURE FLANK SCREW AND FASTENING SYSTEM THEREWITH****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

OCTOBER 15, 2004

(Date)

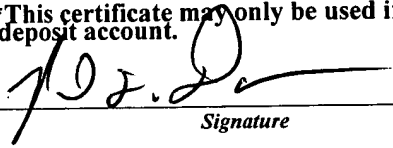
Signature of Person Mailing Correspondence

RENEE HIMELHOCH

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Dated: **OCTOBER 15, 2004**

  
PAUL F. DONOVAN  
ATTORNEY OF RECORD  
REG. NO. 39,962

CC:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
13842

In Re Application Of: **KENNETH R. LEVEY ET AL.**

|                                      |                                  |  |              |                               |                                 |
|--------------------------------------|----------------------------------|--|--------------|-------------------------------|---------------------------------|
| Application No.<br><b>10/600,195</b> | Filing Date<br><b>06/20/2003</b> | Examiner<br><b>Mitchell, Katherine W</b> | Customer No. | Group Art Unit<br><b>3677</b> | Confirmation No.<br><b>7477</b> |
|--------------------------------------|----------------------------------|--|--------------|-------------------------------|---------------------------------|

Title: **PRESSURE FLANK SCREW AND FASTENING SYSTEM THEREWITH**

Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**13842**

In Re Application Of: **KENNETH R. LEVEY ET AL.**

|                                      |                                  |  |              |                               |                                 |
|--------------------------------------|----------------------------------|--|--------------|-------------------------------|---------------------------------|
| Application No.<br><b>10/600,195</b> | Filing Date<br><b>06/20/2003</b> | Examiner<br><b>Mitchell, Katherine W</b> | Customer No. | Group Art Unit<br><b>3677</b> | Confirmation No.<br><b>7477</b> |
|--------------------------------------|----------------------------------|--|--------------|-------------------------------|---------------------------------|

Invention: **PRESSURE FLANK SCREW AND FASTENING SYSTEM THEREWITH**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

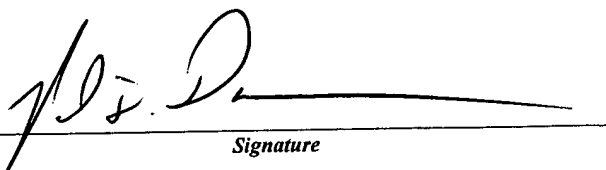
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

**PAUL F. DONOVAN  
ATTORNEY OF RECORD  
REG. NO. 39,962**

Dated: **OCTOBER 15, 2004**

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**OCTOBER 15, 2004**

(Date)

  
Signature of Person Mailing Correspondence

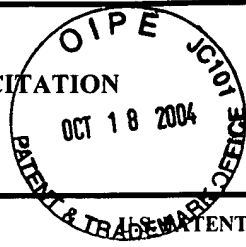
**RENEE HIMELHOCH**

Typed or Printed Name of Person Mailing Correspondence

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

13842

Application Number

10/600,195

Applicant(s)

KENNETH R. LEVEY ET AL.

Filing Date

06/20/2003

Group Art Unit

3677

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE       | NAME    | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|------------|---------|-------|----------|-------------------------------|
|                      |     | 3,937,119       | 02/10/1976 | ERNST   | 85    | 47       |                               |
|                      |     | 4,655,661       | 04/07/1987 | BRANDT  | 411   | 387      |                               |
|                      |     | 5,569,009       | 10/29/1996 | SUZUKI  | 411   | 413      |                               |
|                      |     | 6,419,435       | 07/16/2002 | GAUDRON | 411   | 412      |                               |
|                      |     |                 |            |         |       |          |                               |

## U.S. PATENT APPLICATION PUBLICATIONS

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE       | NAME          | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|------------|---------------|-------|----------|-------------------------------|
|                      |     | 2002/0081171    | 06/27/2002 | WERNER ET AL. | 411   | 423      |                               |
|                      |     |                 |            |               |       |          |                               |
|                      |     |                 |            |               |       |          |                               |
|                      |     |                 |            |               |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  | REF | DOCUMENT NUMBER | DATE      | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|-----------|---------|-------|----------|-------------|----|
|  |     |                 |           |         |       |          | YES         | NO |
|  |     | 198 48 717 A1   | 27.4.2000 | GERMANY |       |          |             |    |
|  |     | 2 240 528       | 7.1974    | GERMANY |       |          |             |    |
|  |     |                 |           |         |       |          |             |    |
|  |     |                 |           |         |       |          |             |    |
|  |     |                 |           |         |       |          |             |    |

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |  |
|--|--|--|
|  |  |  |
|  |  |  |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.